

IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF TEXAS
FORT WORTH DIVISION

SECURITIES AND EXCHANGE COMMISSION,	§	
	§	
Plaintiff,	§	
	§	
vs.	§	
	§	Civil Action No.: 4:17-CV-321-Y
4D CIRCLE LLC a/k/a ENOETICS,	§	
MANTFORD C. HAWKINS, and	§	
DAVID E. BELL,	§	
	§	
Defendants.	§	
	§	

APPENDIX TO THE RECEIVER'S SECOND QUARTERLY STATUS REPORT

Receiver, Lars L. Berg ("Receiver"), files this Appendix to the Receiver's Second Quarterly Status Report. This appendix contains the following documents:

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<u>Exhibit</u>	<u>Document</u>	<u>Page No.</u>
1.	Consolidated Financial Statement For 4D Circle For the Quarter Ended 9/30/17.	App. 1
2.	Cash Summary For 4D Circle For the Quarter Ended 9/30/17.	App. 2
3.	Income Statement For Operations of Liberty Bank Building For the Quarter Ended 9/30/17.	App. 3
4.	Income Statement For Operations of Lancaster Apartments For the Quarter Ended 9/30/17.	App. 4
5.	Income Statement For Operations of Stratton Apartments For the Quarter Ended 9/30/17.	App. 5
6 .	Spread Sheet of All Properties related to 4D Circle.	App. 6
7.	Spreadsheet of Known Creditors	App. 7-9

Respectfully submitted,

/s/ Lars L. Berg

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**COURT-APPOINTMENT
RECEIVER/ATTORNEYS
FOR 4D CIRCLE, LLC**

CERTIFICATE OF SERVICE

I hereby certify that, on October 30, 2017, a true and correct copy of the foregoing was served via CM/ECF to all parties of record.

/s/ Lars L. Berg

Lars L. Berg

4D Circle - Consolidated Income Statement
For the Quarter Ended 9/30/17

	Quarter Ended 9/30/2017	Duration of Receivership
<i>Income</i>		
Rental Income	\$ <u>513,375.52</u>	\$ <u>914,380.82</u>
Total Income	\$ <u>513,375.52</u>	\$ <u>914,380.82</u>
<i>Expenses</i>		
Operating Expenses	\$ 388,525.32	\$ 699,656.60
Interest Expense	<u>167,621.00</u>	<u>250,121.00</u>
Total Expenses	\$ <u>556,146.32</u>	\$ <u>949,777.60</u>
Net Income	\$ <u><u>(42,770.80)</u></u>	\$ <u><u>(35,396.78)</u></u>

**Cash Summary -
Worthington National Bank**

<i>Stratton Apts. Acct.</i>	xxxx-915	4/30/17	5/31/17	6/30/17	7/31/17	8/31/17	9/30/2017
Credits			37,567.00	31,825.00	32,120.00	48,103.00	36,703.00
Debits		<u>(5.00)</u>	<u>(29,246.67)</u>	<u>(31,475.57)</u>	<u>(36,782.23)</u>	<u>(25,890.23)</u>	<u>(56,572.96)</u>
Net Cash for Month		<u>(5.00)</u>	<u>8,320.33</u>	<u>349.43</u>	<u>(4,662.23)</u>	<u>22,212.77</u>	<u>(19,869.96)</u>
Cumulative Cash		<u>(5.00)</u>	<u>8,315.33</u>	<u>8,664.76</u>	<u>4,002.53</u>	<u>26,215.30</u>	<u>6,345.34</u>
<i>Lancaster Apts. Acct.</i>	xxxx-088						
Credits			46,946.00	43,824.00	40,978.00	48,265.00	47,196.50
Debits		<u>(5.00)</u>	<u>(32,127.42)</u>	<u>(40,083.30)</u>	<u>(53,990.45)</u>	<u>(36,809.95)</u>	<u>(55,497.39)</u>
Net Cash for Month		<u>(5.00)</u>	<u>14,818.58</u>	<u>3,740.70</u>	<u>(13,012.45)</u>	<u>11,455.05</u>	<u>(8,300.89)</u>
Cumulative Cash		<u>(5.00)</u>	<u>14,813.58</u>	<u>18,554.28</u>	<u>5,541.83</u>	<u>16,996.88</u>	<u>8,695.99</u>
<i>Liberty Bank Bldg.</i>	xxxx-070						
Credits			115,414.17	80,093.59	138,987.91	95,009.13	63,258.09
Debits		<u>(5.00)</u>	<u>(78,217.65)</u>	<u>(91,323.51)</u>	<u>(89,060.51)</u>	<u>(83,369.47)</u>	<u>(89,031.73)</u>
Net Cash for Month		<u>(5.00)</u>	<u>37,196.52</u>	<u>(11,229.92)</u>	<u>49,927.40</u>	<u>11,639.66</u>	<u>(25,773.64)</u>
Cumulative Cash		<u>(5.00)</u>	<u>37,191.52</u>	<u>25,961.60</u>	<u>75,889.00</u>	<u>87,528.66</u>	<u>61,755.02</u>
<i>4D Circle</i>	xxxx-137						
Credits			144,718.60	3.10	1.84	1.52	3,196.48
Debits		<u>(5.00)</u>	<u>(34,340.00)</u>	<u>(38,898.57)</u>	<u>(32,371.45)</u>	<u>(20,500.00)</u>	<u>(10,601.86)</u>
Net Cash for Month		<u>(5.00)</u>	<u>110,378.60</u>	<u>(38,895.47)</u>	<u>(32,369.61)</u>	<u>(20,498.48)</u>	<u>(7,405.38)</u>
Cumulative Cash		<u>(5.00)</u>	<u>110,373.60</u>	<u>71,478.13</u>	<u>39,108.52</u>	<u>18,610.04</u>	<u>11,204.66</u>
Total Cash - All Entities		<u>(20.00)</u>	<u>170,694.03</u>	<u>124,658.77</u>	<u>124,541.88</u>	<u>149,350.88</u>	<u>88,001.01</u>

4D Liberty LLC
For the Quarter Ended 9/30/17

	Quarter Ended 9/30/2017	Duration of Receivership
<i>Income</i>		
Rental Income	\$ 274,738.23	\$ 505,298.74
Other Income	<u>11,810.79</u>	<u>23,621.58</u>
Total Income	<u>\$ 286,549.02</u>	<u>\$ 528,920.32</u>
<i>Expenses</i>		
Operating Expenses	\$ 178,146.80	\$ 351,713.55
Interest Expense	<u>110,000.00</u>	<u>192,500.00</u>
Total Expenses	<u>\$ 288,146.80</u>	<u>\$ 544,213.55</u>
Net Income	<u>\$ (1,597.78)</u>	<u>\$ (15,293.23)</u>

4D Circle Lancaster
For the Quarter Ended 9/30/17

Income

	Quarter Ended 9/30/2017	Duration of Receivership
Rental Income	\$ 123,353.00	\$ 212,132.50
Other Income	<u>1,702.50</u>	<u>2,641.00</u>
Total Income	\$ <u>125,055.50</u>	\$ <u>214,773.50</u>

Expenses

Operating Expenses	\$ 112,357.27	\$ 185,744.25
Interest Expense	<u>35,725.02</u>	<u>35,725.02</u>
Total Expenses	\$ <u>148,082.29</u>	\$ <u>221,469.27</u>
Net Income	\$ <u><u>(23,026.79)</u></u>	\$ <u><u>(6,695.77)</u></u>

**4D Circle Stratton
For the Quarter Ended 9/30/17**

	Quarter Ended 9/30/2017	Duration of Receivership
<i>Income</i>		
Rental Income	\$ 101,256.00	\$ 169,900.18
	<u>515.00</u>	<u>786.82</u>
Total Income	\$ <u>101,771.00</u>	\$ <u>170,687.00</u>
<i>Expenses</i>		
Operating Expenses	\$ 98,021.25	\$ 162,198.80
Interest Expense	<u>21,895.98</u>	<u>21,895.98</u>
Total Expenses	\$ <u>119,917.23</u>	\$ <u>184,094.78</u>
Net Income	\$ <u><u>(18,146.23)</u></u>	\$ <u><u>(13,407.78)</u></u>

4D Circle Properties - Status				
	Name of Property	Specific 4D Circle Subsidiary Involved	Property Description	Current Control of Property
1	Liberty	4D Liberty LLC	Office building	Receivership
2	Lancaster	4D Lancaster Property LLC	Apartments	Receivership
3	Stratton	4D Stratton LLC	Apartments	Receivership
4	Tejas	4D Tejas LLC	Office building	Matthews Group (Note 1)
5	Executive Towers	4D Executive Tower LLC	Office building	Original Investor
6	Westfork	4D Westfork LLC	Collection of properties slated for development	Matthews Group
7	Sivraj	4D Sivraj LLC	Office building	Jarvis (Note 2)
8	Springbrook	4D Springbrook LLC	Apartments	Foreclosed
9	4D Lofts	4D Lofts LLC	Apartments	Foreclosed
10	Las Brisas	4D Las Brisas LLC	Apartments	Sold
11	Chiquita	4D Chiquita LLC	Apartments	Sold
12	E-Normont	E-Normont LLC	14 duplexes taking entire street	Sold

Note 1 - The Matthews Group refers to Jeff Matthews, Richard Hill, and Greg Pierce, who all work as finance professionals and were involved with, and investors in, 4D Circle.

Note 2 - Jarvis refers to Roger L. Jarvis and a group of his family-related trusts that invested in 4D Circle.

List of Known Creditors			
	Name and Address	Amount Claimed	Description
1	Air Performance Service, Inc. 10510 Markison Road Dallas, TX 75238	1,328.57	Property maintenance
2	Arbor Masters PO Box 414921 Kansas City, MO 64141	1,628.94	Property maintenance
3	Cleaver Brooks Sales & Service 1956 Singleton Blvd. Dallas, TX 75212	18,976.61	Property maintenance
4	Consolidated IP Of Texas LLC 1400 West 7th Street, Suite 400 Fort Worth, TX 76102	334.84 12,467.33 2,846.48 1,300.80 3,483.89	Property insurance
5	Dallas Capital Bank (through attorney John Leininger) Shapiro Bieging Barber Otteson 5400 LBJ Freeway Suite 930 Dallas, Texas 75240 4D Executive Tower LLC 4D Liberty LLC	 2,753.00 15,664.00	Fees Due related to loan request to refinance property
6	E320 Strategic Solutions, LLC 1300 SW 134th Terrace Oklahoma City, OK 73170	51,649.00	Construction-related work performed on Police Association Blog
7	First Insurance Funding 450 Skokie Blvd., Suite 100 Northbrook, IL 60062	3,195.71	Property insurance
8	Fluid IT Services 5601 Democracy Dr., Suite 265 Plano, TX 75024	45.00	IT services
9	Fort Worth Pest & Termite Services 4621 S. Cooper #131-211 Arlington, TX 76017	291.20	Property maintenance
10	Fujitec America Inc. 13615 Welch Road, Suite 105 Dallas, TX 75244	785.00	Property maintenance
11	Ingo Money, Inc. 623 Holcomb Bridge Road Roswell, GA 30076	390.48 530.48	\$360 bad check with fees \$500 bad check with fees

List of Known Creditors			
	Name and Address	Amount Claimed	Description
12	Maintenance of Fort Worth, Inc. 4100 International Plaza, Suite 525 Fort Worth, TX 76109	994.82 3,065.09 402.58	Property maintenance
13	Michael's Keys Locksmith 4003 Colleyville Blvd. Colleyville, TX 76034	861.61	Property maintenance
14	Red Carpet Cleaning PO Box 892 Colleyville, TX 76034	86.55 204.61 205.68	Property maintenance
15	Receivables Control Corporation 7373 Kirkwood Court, Suite 200 Minneapolis, MN 55369	40,949.00	Property maintenance
16	Whitley Penn 3400 West 7th Street, Suite 400 Fort Worth, TX 76102	25,240.82	Accounting services
17	Vail & Knauth LLP 1801 Gateway Blvd., Suite 212 Richardson, TX 75080	9,380.00	Accounting services
18	Y-R-Painting PO Box 201542 Arlington, TX 76006	500.00 240.00 350.00 760.00 305.00 380.00	Property maintenance

Exhibit A

4D CIRCLE LLC
Investor Summary

Investor Name	Address	City	State	Zip Code	Investment Total
Reuben Easterling	3060 Pharr Court N. Apt. 715	Atlanta	GA	30305	25,000.00
Trent Hardin	125 Serrano	Weatherford	TX	76087	25,000.00
Jayson Coombes	PO Box 1233	Frisco	TX	75034	40,000.00
Erick "Shane" Edwards	16142 Marlove Rd.	Madill	OK	73446	40,000.00
Joshua Merrill	212 Little Cat Track Rd	Weatherford	TX	76085	30,000.00
Chris MacFarland	5 Heather Glen Circle	Trophy Club	TX	76262	100,000.00
Chris Alpert	3454 Potomac Ave	Dallas	TX	75205	25,000.00
Laquetta Bradshaw	317 W. Blanco Drive	Hobbs	NM	88240	24,374.98
Joy Peek	#1 Knightsbridge Place	Texarkana	TX	75503	50,000.00
Michele Peek	6816 Brants Lane	Fort Worth	TX	76116	25,000.00
Stephen Mills	14108 Rigney Drive	Midlothian	VA	23113	71,082.24
Dan Southard	3609 Lawndale	Fort Worth	TX	76133	25,000.00
Eric D. Strafel	4920 Joshua	Flower Mound	TX	75028	25,000.00
Jeffrey Scott Jiles	3301 Hollow Creek	Arlington	TX	76001	200,000.00
Maureen Solomon	4339 Bellaire Drive S	Fort Worth	TX	76109	50,000.00
Bob L and Sharon W Braun	12503 Beauline Abbey St	Tomball	TX	77377	100,000.00
Sunwest Trust FBO Cynthia Kay Whitaker IRA	10600 Menaul Blvd. N.E.	Albuquerque	NM	87112	100,000.00
Sunwest Trust FBO Jeffrey M. Matthews IRA	10600 Menaul Blvd. N.E.	Albuquerque	NM	87112	200,000.00
	16 Canyon Crest Court	Frisco	TX	75034	
Midland IRA, Inc. FBO Stephen Mills #1637702	P. O. Box 07520	Fort Myers	FL	33919	60,000.00
	14108 Rigney Dr.	Midlothian	VA	23113	
Sunwest Trust FBO Eileen Isola IRA	10600 Menaul Blvd. N.E.	Albuquerque	NM	87112	100,000.00
	5251 Apache Plume Rd #13106	Fort Worth	TX	76109	
Red Dot/Blue Dot OAT (James Webb) (JM)	5729 Lebanon Rd, Suite 144-202	Frisco	TX	75034	1,000,000.00
Yellow Dot/Brown Dot OAT (Ted Groesbeck) (JM)	5729 Lebanon Rd, Suite 144-202	Frisco	TX	75034	500,000.00
Robert Alpert	8737 Canyon Dr	Dallas	TX	75209	
Gregory Dunaway	1000 Hazel Drive	Lantana	TX	76226	100,000.00
John Cech (CDA Rollover)	2203 Abby Lane	Trophy Club	TX	76262	500,000.00
JHR Interests Corporation/Jason H. Reed	3324 Miro Place	Dallas	TX	75204	200,000.00
Penrose Property Fund, LLC	604 E. 4th St. #201	Fort Worth	TX	76102	250,000.00
Fahmi Karam	5515 Clermont Ct	Colleyville	TX	76034	100,000.00
Robert L. Clemens	4700 Cresthaven Dr	Colleyville	TX	76034	100,000.00
Robert T. Rhodes (CDA Rollover)	2833 Oakbriar Trail	Fort Worth	TX	76109	400,000.00
Jeffrey Scott Jiles	3301 Hollow Creek	Arlington	TX	76001	200,000.00
Midland IRA, Inc. FBO Scott A. Hagood IRA #0231317	P.O. Box 07520	Fort Myers	FL	33919	250,000.00
	P.O. Box 2418	Chicago	IL	60690-2418	
Britt Fair (CDA Rollover)	2809 Hanover	Dallas	TX	75225	49,166.66
Ken Fisk	3003 Hickory Grove Ct.	Fairfax	VA	22031	150,000.00
Priscilla Gould (CDA Rollover)	5165 Verde Valley Ln. Apt. 2427	Dallas	TX	75254	85,000.00
Jeffrey M. Matthews and Amy N. Brigman (Rollover)	16 Canyon Crest Court	Frisco	TX	75034	374,125.00
John Godbey (CDA Rollover)	1642 Crooked Creek Dr.	Carrollton	TX	75007	250,000.00
Timothy Tatarowicz	3681 Shady Creek Ct	Frisco	TX	75033	100,000.00
Hunter Shadburne	1725 Deerfield Dr.	Austin	TX	78741	75,000.00
ESSF Ventures (Chris Elley)	4813 Woodview	Austin	TX	78756	100,000.00
Bret Tomlinson	1004 Thornridge Ct.	Argyle	TX	76226	50,000.00
Samuel W. Allison	5124 Pine Avenue	Waco	TX	76710	50,000.00
Britt Lewis Fair Trust	2809 Hanover	Dallas	TX	75225	100,000.00
Curtis D. Karges	3131 Memorial Ct. #22113	Houston	TX	77007	75,000.00
Matthew Lewis	1815 Chatfield Ln	Lucas	TX	75002	50,000.00
John E. Grimes	5626 Stonegate Rd	Dallas	TX	75209	100,000.00
Burr Family Trust (Michael J. Burr)	308 S. Vine St.	Hinsdale	IL	60521	100,000.00
Texas Size Properties (Grady Hobbs)	216 James Drive	Heath	TX	75032	50,000.00
Merritt Plus, LLC (John Paul Merritt)	4245 N. Central Expressway	Dallas	TX	75205	50,000.00
Hunter Carpenter	3889 Maple Avenue, Suite 600	Dallas	TX	75219	50,000.00
Sunwest Trust, Inc. FBO Deepak Kosla IRA	10600 Menaul Blvd. N.E.	Albuquerque	NM	87112	99,700.00
	862 Acadian Garden	Orleans	ON	K1C 2V6	
7338 McHenry St. LTD/Morton L. and Thelma Rubin	822 Cardinal Flower Dr	Richmond	TX	77469	531,550.00
WFD Lewes LLC/William F. D'Alonzo	8094 Vizcaya Way	Naples	FL	34108	100,000.00
Pony Oil, LLC/John Paul Merritt	4245 N. Central Expressway, #320, Box 109	Dallas	TX	75205	650,000.00
Roger L. Jarvis	19455 Juergen Road	Cypress	TX	77433	1,025,369.16
Roger L. Jarvis/Morris L. Monroe CoT of Biana L. Jarvis 2011 Fam Trust	19455 Juergen Road	Cypress	TX	77433	98,637.00
Roger L. Jarvis/John R. Jarvis CoT of John Robert Jarvis 2011 Fam Trust	19455 Juergen Road	Cypress	TX	77433	98,637.00
Roger L. Jarvis/Morris L. Monroe CoT of Jacob Roger Jarvis 2011 Fam Trust	19455 Juergen Road	Cypress	TX	77433	98,637.00
Roger L. Jarvis/Morris L. Monroe CoT of Gary Evan Jarvis 2011 Fam Trust	19455 Juergen Road	Cypress	TX	77433	98,637.00
Hani Omar Taan	19455 Juergen Road	Cypress	TX	77433	98,637.00
Polymathex, LLC (Matt Neyland)	1361 Misty Cove	Rockwall	TX	75084	60,000.00
Texhoma Capital Partners LLC (JM/GP)	5729 Lebanon Rd, Suite 144-202	Frisco	TX	75034	20,000.00

\$9,753,553.04